

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

APPLICANT: Steven M.H. Wallman  
SERIAL NO.: 10/627,626  
FILING DATE: July 28, 2003  
EXAMINER: Mr. Daniel Lawson Greene  
ART UNIT: 3694  
TITLE: METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR  
SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A  
PORTFOLIO OF SECURITIES . . .  
ATTY. DOCKET: 10392/460043

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO OFFICE ACTION**

Sir:

In response to the Office action mailed on July 9, 2008 ("Office action"), the due date having been extended to December 9, 2008 by payment of the requisite extension fee, Applicant respectfully requests reconsideration of the application in light of the following amendments and remarks:

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks** begin on page 3 of this paper.